

## CRITERIA AND PROCESS DESCRIPTION

Table 1  
Performance by Individual NRC Licensees and Groups of Licensees and Selected Agreement  
State Licensees-Evaluation Criteria

Criteria for identifying candidate licensees for AARM consideration	Criteria NMSS will use in evaluating whether candidate licensees will be forwarded for discussion at the AARM.
Licensee has an event that results in the failure to meet a Safety Measure (e.g., a death, release with a significant impact on the environment, etc.).	These licensees will typically be discussed at the AARM unless the matters have already been adequately addressed and discussed before the AARM.
Licensee has an event that results in an Abnormal Occurrence, or an event that qualifies for a Severity Level I or II violation, or multiple events that meet the criteria of the agency Strategic Plan Performance Measures (e.g., reportable loss of material, breakdown of physical protection, etc.), <b>and</b> technical staff believes that there are unique or unusual aspects of the cases that are not adequately or appropriately handled within the normal inspection and enforcement processes.	These licensees will only be discussed at the AARM if Agency actions beyond the normal inspection and enforcement processes are necessary, and other Offices will be required to support these actions, <b>or</b> other Offices would benefit from an awareness of the issues and circumstances associated with licensee performance.
Licensees that have significant breakdowns in their licensed programs, where the breakdowns involve more than one compliance item (e.g., a dose monitoring breakdown and also wide-spread failure to implement and maintain required procedures) <b>and</b> technical staff believe that there are unique or unusual aspects of the cases that are not adequately or appropriately handled within the normal inspection and enforcement processes.	These licensees will only be discussed at the AARM if Agency actions beyond the normal inspection and enforcement processes are necessary, and other Offices will be required to support these actions, <b>or</b> other Offices would benefit from an awareness of the issues and circumstances associated with licensee performance.

Note: MSIB/IMNS: Materials Safety and Inspection Branch; AARM: Agency Action Review Meeting.

Table 2

Summary of Review and Assessment Process of Operating Experience

Area of Consideration	Evaluation Process	Criteria
Strategic Plan, Performance Goals and Measures	Verify Performance Goal and Performance Measure counts as part of the operating plan.	(1) Exceed a performance goal or measure; (2) likely to exceed performance goal or measure (within 80% of goal or measure).
Performance Trends	Quarterly compilation of rolling 18 months of data. Staff analyzes trends indicating declining performance, further, to determine follow-up action. Observations from trend data can lead to selection of specific-event categories for in-depth review and examination, to integrate information.	Statistically significant declining performance trend.
Significant Issues	Staff routine review and screening of event data, to determine if immediate follow-up action is needed. Staff for follow-up study and analysis; NMSS and region management may also refer potential significant issues identified through the inspection program for follow-up study and analysis. Performance trend data used in follow-up study and analysis.	Actual or demonstrated high potential to impact public health and safety.